

Applicant: Michael D. Mabry and Donald R. McAllister

Entitled: SEALABLE LID FOR VACUUM DISTILLATION APPARATUS

VERIFIED STATEMENT (DECLARATION)

CLAIMING SMALL ENTITY STATUS

{37 CFR 1.9(F) AND 1.27 (B)} - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that:

I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **SEALABLE LID FOR VACUUM DISTILLATION APPARATUS**

described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization
☒ Persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the inventions averring to their status as small entities. (37 CFR 1.27)

Full Name IHS Solvent Solutions, Inc.

Address 1520 Airport Drive, Ball Ground, Georgia 30107

☐ Individual

☒ Small Business Concern

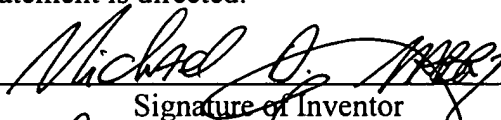
☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. {37 CFR 1.28(b)}

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Michael D. Mabry

Name of Inventor



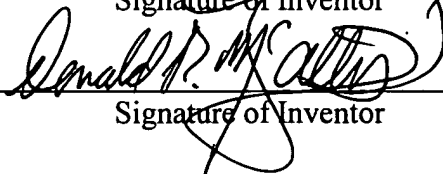
Signature of Inventor

04/07/00

Date Signed

Donald R. McAllister

Name of Inventor



Signature of Inventor

04/07/00

Date Signed

Applicant: Michael D. Mabry and Donald R. McAllister

Entitled: SEALABLE LID FOR VACUUM DISTILLATION APPARATUS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS {37 CFR 1.9 AND 1.27} - CLAIMANT OF PATENT RIGHTS

As a below named Claimant, We hereby declare that:

We qualify as ☒ a Small Business Concern ☐ a Non-Profit Organization as defined in 37 CFR 1.9 for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled **SEALABLE LID FOR VACUUM DISTILLATION APPARATUS** described in the specification filed herewith.

We have not assigned, granted, conveyed or licensed and are under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization
☐ Persons, concerns or organizations listed below

Full Name _____

Address _____

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

We acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. {37 CFR 1.28(b)}

I, the undersigned individual, warrant that I am an officer authorized to execute this document on behalf of the Claimant; I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Date: 04/07/00

By: Michael D. Mabry
Typed Name: Michael D. Mabry
Position: President

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As the below named inventors, we hereby declare that:

Our residence, post office addresses and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: **SEALABLE LID FOR VACUUM DISTILLATION APPARATUS**

the specification of which

(check one) X is attached hereto.

 was filed on as Application Serial No. / and was amended on (if applicable).

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

We hereby claim:

We hereby claim the benefit under Title 35, United States Code, §119 of any United States provisional parent application, foreign application(s) for patent or inventor's certificate listed below and have also identified below any United States provisional patent application, foreign application for patent or inventor's certificate having a filing date before that of the above-identified application on which priority is claimed:

Prior U.S. Provisional Application(s): **Provisional Application Serial Number 60/128,818, Filed April 12, 1999.**

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing of the prior application and the national or PCT international filing date of this application:

Prior Application(s): **None**

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

As a named inventor, we hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Louis T. Isaf	Reg. No. 29,078
James F. Vaughan	Reg. No. 31,889
Steven D. Kerr	Reg. No. 32,472
D. Scott Sudderth	Reg. No. 34,026
Michael A. Cicero	Reg. No. 34,562
John T. Timar	Reg. No. 32,497
Li Wang	Reg. No. 44,393
Jeffery B. Arnold	Reg. No. 39,540
Judy C. Jarecki-Black	Reg. No. 44,170
Steven L. Schmid	Reg. No. 39,358

SEND CORRESPONDENCE TO:
Jeffery B. Arnold
Womble Carlyle Sandridge & Rice
P. O. Box 725388
Atlanta, Georgia 31139-9388

DIRECT PHONE CALLS TO:
Jeffery B. Arnold
(404) 872-7000

Inventor's Signature: Michael D. Mabry

Full Name of Inventor: Michael D. Mabry

Date: 04/07/00

Citizenship: USA

Residence: 3172 Oak Springs Trace
Marietta, GA 30066-3983

Post Office: 3172 Oak Springs Trace
Marietta, GA 30066-3983

Donald R. Galt

Full Name of Inventor: Donald R. McAllister

Date: 04/07/00
Citizenship: USA

Citizenship: USA

Residence: 539 Cowart Mountain Trail
Waleska, GA 30183

Post Office: 539 Cowart Mountain Trail
Waleska, GA 30183

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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

DECEMBER 10, 1999

PTAS

NIXON PEABODY LLP
JEFFERY B. ARNOLD, ESQ.
CLINTON SQUARE
P.O. BOX 1051
ROCHESTER, NEW YORK 14603



101186180A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/24/1999

REEL/FRAME: 010259/0256
NUMBER OF PAGES: 6

BRIEF: RECORD TO CORRECT SPELLING OF NAME AND TO CORRECT ADDRESS OF RECEIVING PARTY, PREVIOUSLY RECORDED ON REEL 010073, FRAME 0994.

ASSIGNOR:

MABRY, MICHAEL D.

DOC DATE: 05/10/1999

ASSIGNOR:

MCALLISTER, DONALD R.

DOC DATE: 05/10/1999

ASSIGNEE:

IHS SOLVENT SOLUTIONS, INC.
1520 AIRPORT DRIVE
BALL GROUND, GEORGIA 30107

SERIAL NUMBER: 60128818
PATENT NUMBER:

FILING DATE: 04/12/1999
ISSUE DATE:

JC675 U.S. PTO
09/545137
04/07/00

010259/0256 PAGE 2

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

12-03-1999



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RECORDATION FORM COVER SHEET

PATENTS ONLY

JC675 U.S. PTO

09/545137



04/07/00

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:

CORRECTIVE

<p>1. Name of conveying party(ies):</p> <p>Michael D. Mabry and Donald R. McAllister</p>	<p>2. Name and address of receiving party(ies):</p> <p>IHS Solvent Solutions, Inc. 1520 Airport Drive Ball Ground, Georgia 30107</p>
<p>3. Nature of conveyance:</p> <p><input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input checked="" type="checkbox"/> Other: <u>Record to correct spelling of name and to correct address of receiving party (item #2) previously recorded on Reel 010073/Frame 0994.</u></p> <p>Execution Date(s):</p> <p>1st (sole) Inventor: 5/10/99 2nd Inventor: 5/10/99 3rd Inventor: 4th Inventor:</p>	
<p>4. Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date(s) of the application is(are):</p> <p>1st (sole) Inventor: 2nd Inventor: 3rd Inventor: 4th Inventor:</p> <p>A. Patent Application No.(s) 60/128,818</p> <p>B. Patent No.(s)</p>	

5. Name and address of party to whom correspondence concerning document should be mailed:

Jeffery B. Arnold, Esq.
Nixon Peabody LLP
Clinton Square
P. O. Box 1051
Rochester, New York 14603

6. Total number of applications and patents
Involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

[] Previously paid (see original transmittal)

[] A check in the amount of \$_____ is enclosed.

8. Deposit Account Number: 14-1138

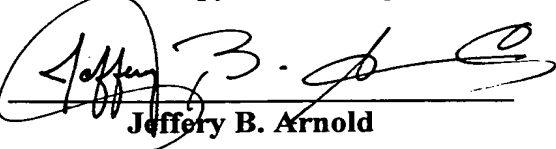
☒ Charge total fee to account.

☒ Charge any additional fees to account.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.


Jeffery B. Arnold

SEPTEMBER 20, 1999
Date

Certificate of Mailing - 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C 20231 on the date below.

9/20/99
Date


Jo Ann Whalen

[Total number of pages including cover sheet, attachments and document: 9]

ASSIGNMENT

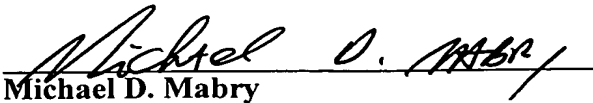
WHEREAS, We, **Michael D. Mabry**, a United States citizen, residing at 3172 Oak Springs Trace, Marietta, Georgia 30066-3983, and **Donald R. McAllister**, a United States citizen, residing at 16350 Freemanville Road, Alpharetta, Georgia 30004-2713, have invented certain new and useful improvements in **SEALABLE LID FOR VACUUM DISTILLATION APPARATUS** for which we have executed an application for Letters Patent in the United States, filed as U. S. Serial No. 60/128,818 on April 12, 1999;

AND WHEREAS, **IHS Solvent Solutions, Inc.**, a Georgia corporation, with its principal place of business at 175 Hickory Springs Industrial Drive, Canton, Georgia 30115, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **IHS Solvent Solutions, Inc.**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **IHS Solvent Solutions, Inc.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

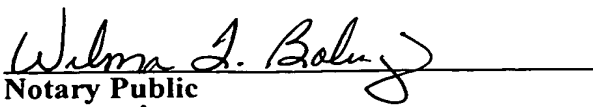
AND WE HEREBY covenant and agree that we will communicate to the said **IHS Solvent Solutions, Inc.**, its successors, legal representatives and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **IHS Solvent Solutions, Inc.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10th day of MAY, 1999.


Michael D. Mabry

STATE OF Georgia
COUNTY OF Cherokee SS: 587-07-7850

This 10th day of May, 1999, before me personally came the above-named **Michael D. Mabry**, to me personally known as the individual who executed the same of his own free will for the purposes therein set forth.


Notary Public
My Commission expires MAY 15, 2000

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 10 day
of May, 1999.

Donald R. McAllister
Donald R. McAllister

STATE OF Georgia
COUNTY OF Cherokee SS: 263-92-9420

This 10th day of May, 1999, before me personally came the
above-named **Donald R. McAllister**, to me personally known as the individual who executed
the same of his own free will for the purposes therein set forth.

Wilma J. Baling
Notary Public

My Commission expires May 15, 2000